

Recommendation(s) Status: Runaway and subsequent collision near to Loughborough Central station

The status of implementation of the recommendations, as reported to us, has been divided into six categories:

Key to Recommendation Status

Implemented:	All actions to deliver the recommendation have been completed.
Implemented by alternative means:	The intent of the recommendation has been satisfied in a way that was not identified by the RAIB during the investigation.
Implementation ongoing:	Work to deliver the intent of the recommendation has been agreed and is in the process of being delivered.
In-progress:	The relevant safety authority has yet to be satisfied that an appropriate plan, with timescales, is in place to implement the recommendation; and work is in progress to provide this.
Non-implementation:	Regulation 12(2)(b)(iii) = recommendation considered and no implementation action to be taken.
Awaiting response:	Awaiting initial report from the relevant safety authority or public body on the status of the recommendation.

RAIB concerns on actions taken by organisations in response to recommendations are reflected in this report and are indicated by one of the following.

-  The red triangle shows recommendations where the RAIB has concerns that no actions have been taken in response to a recommendation.
-  The blue triangle shows recommendations where the RAIB has concerns that the actions taken, or proposed, are inappropriate or insufficient to address the risk identified during the investigation.
-  The white triangle shows recommendations where the RAIB notes substantive actions have been reported, but the RAIB still has concerns.

Note: The tables which follow, report the status of recommendations on 31 December 2015. In some other cases the end implementer has already sent information to the relevant safety authority about the actions it has taken, or proposes to take and the safety authority is considering whether it is satisfied that those actions and the associated timescales are accepted.

Number/ Date/ Report No/ Inv Title / Current Status	Safety Recommendation	Summary of current status (based on ORR's report to RAIB)
<p>1 12/05/2014 04/2015</p> <p>Runaway and subsequent collision near to Loughborough Central station</p> <p>Status: Implemented</p>	<p>The intent of this recommendation is for the Great Central Railway to ensure that activities which result in trains or vehicles being left unattended are subject to consistent and effective risk controls.</p> <p>The Great Central Railway should review those parts of the Great Central Railway Rule Book, General Appendix and any other instructions which relate to leaving trains or vehicles unattended.</p> <p>This review should consider whether the rules and instructions contained within these documents are clear and consistent and if they adequately address all of the risks involved in trains and vehicles being left unattended. The review should specifically examine the use of handbrakes and wheel scotches and the stabling of vehicles within locations which offer runaway protection.</p> <p>The Great Central Railway should implement any changes identified as being necessary as a result of this review. The Great Central Railway should ensure that suitable briefing and training accompanies any changes which are implemented (paragraphs 136a, 136b, 137a, 137b and 139).</p>	<p>ORR has reported that the Great Central Railway has reported that it has completed actions taken in response to this recommendation.</p> <p>ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.</p>
<p>2 12/05/2014 04/2015</p> <p>Runaway and subsequent collision near to Loughborough Central station</p> <p>Status: Implemented</p>	<p>The intent of this recommendation is for the Great Central Railway to ensure that the requirement within its safety management system for regular checks to be undertaken on the correct operation of internal processes is implemented so that non-compliances to rules and instructions are detected in the future.</p> <p>The Great Central Railway should review the arrangements currently in place which are intended to ensure that the safety management system, rules and procedures are functioning as intended.</p> <p>This review should ensure that these arrangements are suitable and able to identify any future non-compliances to policy, rules and instructions similar to those identified by this investigation. The review should specifically ensure that arrangements remain effective during periods when train services are not being run for the public and that the results of all inspections and audits are recorded.</p> <p>The Great Central Railway should implement any changes identified as being necessary as a result of this review (paragraph 138).</p>	<p>ORR are carrying out assurance activity this year to confirm that the Great Central Railway safety management system is working as per the review. The RAIB is concerned that no new mechanisms have been introduced which could increase the effectiveness of inspection and audit. \$b</p>

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<p>3 12/05/2014 04/2015</p> <p>Runaway and subsequent collision near to Loughborough Central station</p> <p>Status: Implemented</p>	<p>The intent of this recommendation is for the Great Central Railway to ensure that the requirements within its safety management system and policy documents which relate to the training and assessment of staff are effectively implemented.</p> <p>The Great Central Railway should review the arrangements currently in place which relate to the training and assessment of staff in order to ensure that they are effective at ensuring employees (including volunteers) are fully competent to undertake their duties. This should specifically include roles relating to the shunting of trains and the management of possessions.</p> <p>The Great Central Railway should implement any changes identified as being necessary as a result of this review (paragraph 141).</p>	<p>ORR has reported that the Great Central Railway has reported that it has completed actions taken in response to this recommendation.</p> <p>ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.</p>
<p>4 12/05/2014 04/2015</p> <p>Runaway and subsequent collision near to Loughborough Central station</p> <p>Status: Implemented</p>	<p>The intent of this recommendation is for the Great Central Railway to ensure that it has effective control and oversight of the maintenance undertaken on diesel locomotives operating on its infrastructure.</p> <p>The Great Central Railway should review the arrangements currently in place by which it ensures that diesel locomotives operating on its infrastructure are being maintained in a way which adequately addresses the risks posed by the potential failure or reduced reliability of components and systems. This review should specifically consider the maintenance of braking systems.</p> <p>The Great Central Railway should implement any changes identified as being necessary as a result of this review (paragraph 142).</p>	<p>ORR has reported that the Great Central Railway has reported that it has completed actions taken in response to this recommendation.</p> <p>ORR proposes to take no further action unless they become aware that the information provided becomes inaccurate.</p>